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FORM PTO-1390

U.S. Department of Commerce Patent and Trademark Office

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

Attorney's Docket No.

2918-109

U.S. Application, No. (introvent)

INTERNATIONAL APPLICATION NO.

PCT/EP2003/014844

INTERNATIONAL FILING DATE December 23, 2003

PRIORITY DATE CLAIMED December 23, 2002

TITLE OF INVENTION: COMPOUNDS FOR THE INHIBITION OF ROTAMASES

APPLICANT(S) FOR DO/EO/US Jochen KNOLLE, Mike SCHUTKOWSKI, Gerd HUMMEL, Thomas TRADLER, Laurence JOBRON, Claudia CHRISTNER, Roland STRAGIES

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. [X] This is a FIRST submission of items concerning a filing under 35 U.S.C. 371
- 2. [] This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
- 3. [] This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- 4. [X] The US has been elected (Article 31).
- 5. [X] A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. [] is attached hereto (required only if not communicated by the International Bureau).
 - b. [X] has been communicated by the International Bureau.
 - c. [] is not required, as the application was filed in the United States Receiving Office (RO/US)
- 6. [] An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. [] is attached hereto.
 - b. 1 has been previously submitted under 35 U.S.C. 154(d)(4).
- 7. [] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. [] are attached hereto (required only if not communicated by the International Bureau).
 - o. [] have been communicated by the International Bureau.
 - c. [] have not been made; however, the time limit for making such amendments has NOT expired.
 - d. [] have not been made and will not be made.
- 8. [] An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- 9. [X] An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. [] An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

ITEMS 11. TO 20. below concern other document(s) or information included:

- 11. [X] An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- [X] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. [X] A preliminary amendment.
- 14. [X] An Application Data Sheet under 37 CFR 1.76.
- 15. [] A substitute specification.
- 16. A power of attorney and/or change of address letter.
- A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825
- A second copy of the published international application under 35 U.S.C. 154(d)(4).
- 19. [] A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- 20. [X] Other items or information:

ISA/210(ISR), Published Application WO 2004/065353 a1, PCT/R0/101, PCT/IPEA/401

International Preliminary Examination Report, PCT/IB/304

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APPLICATION	APPLICATION NO. PCT/EP2003/014844		ATTORNEY DOCKET NO. 2918-109		
21. The following fees are submitted:				CALCULATIONS	PTO USE ONLY
X Basic na	ational Fee		. \$300.00	\$ 300	<u> </u>
22. X Examination Fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) \$100.00 All other situations \$200.00				\$ 200	
23. X Search Fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Search Authority				\$ 400	
TOTAL OF 21, 22 AND 23 =				\$ 900	
Additional fee for specification and drawings filed in paper over 100 (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250.00 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Rate		
197 - 100 =	97 / 50 =	2	x 250	\$ 500	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$0	
Claims	Number Filed	Number Extra	Rate		
Total Claims	87 -20 =	67	X \$50.00	\$ 3,350	
Independent Claims	2 -3=	<u> </u>	X \$200.00	\$0	
Multiple dependent claim(s) (if applicable) + \$360.00 TOTAL OF ABOVE CALCULATIONS =				\$0 \$ 4,750	
				\$ 4,730	
Applicant claims small entity status. The fees indicated above are reduced by 1/2.				\$0	
SUBTOTAL =				\$ 4,750	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$ 40	
TOTAL NATIONAL FEE =				\$ 4,750	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$0	
TOTAL FEES ENCLOSED =				\$4,750	r
				Amount to be refunded	\$
				Amount to be charged	\$
a. A check in the amount of \$ to cover the above fees is enclosed. b. X Please charge my Deposit Account No. 02-2135 in the amount of \$ to cover the above filling fees and \$40 to cover the assignment recordation fee is enclosed. A duplicate copy of this sheet is enclosed. c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-2135. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.13Z(e) or (b)) must be filled and granted to restore the application to pending status. SEND ALL CORRESPONDENCE TO:					
Customer No. 064	149	t B. Murray			
Rothwell, Figg, Ernst & Manbeck					
1425 K St., N.W. 22.980					
Washington, D.C. 20005 Phone: 202/783-6040 Registration				Number	